

2013/14 Annual Internal Audit Plan

Status @ May 2014

Corporate Governance Arrangements

AGS - Internal Audit Opinion	Completed
Corporate Governance - CRSA	Report issued
Risk Management Arrangements audit review	Report issued
Corporate Governance support	Support provided

Managed Audit - Key financial and Non-financial Systems

SAP Application Controls - policy, roles and access	Report issued
Financial Assessments and Charging	Report issued
Treasury Management	Report issued
Accounts Payable	In progress
Payroll	Report issued
Capital Expenditure Monitoring	In progress
Accounts Receivable	In progress
Revenue Budgetary Control	Report issued
General Ledger	Report issued
Pension Administration	Report issued
Pension Fund Investments	Report issued

Grants

EU Grants	Completed
Government Grants	Completed

Contract Reviews

UNICORN (Public Service Network)	In progress
Supply of ASC Equipment	In progress
Central Contract Monitoring	Report issued
Youth Service Commissions	In progress
Library Service Global Transport Van Service	Report issued
Walton Bridge Contract	Deferred to 2014/15

Adult Social Care

Domiciliary Care – External Providers	Report issued
Supported Living and Independent Living Service (SAILS)	Support provided
Serious Case Review - Recommendation Tracking	Report issued
AIS Assessment Process	Report issued
ASC Safeguarding Assurance Process	Report issued
ASC Commissioning Framework	Report issued

Children Schools and Families

Schools Compliance	Report issued
ICS Phase 2 - Payments to Providers	Report issued
Free School Meals	Report issued
Schools SFVS Process	Report issued
Community Homes	Report issued
SIMS	Report issued
Short Stay Schools	Report issued
Homes for Children with Disabilities	Report issued

Customers and Communities

Adult and Community Learning	Report issued
Customer Services	Report issued
Surrey Arts	In progress
Music Tuition	Report issued
Blue Badges	Report issued

Business Services

Property Asset Management System (PAMS)	Deferred to 2014/15
Social Care Debt	In progress
SFRS Capital Project Management	In progress
Surrey Local Assistance Scheme	Report issued
Finance Dashboard	Report issued
Insurance	Report issued
Management of CITRIX Systems	Deferred to 2014/15
Data Centre	Report issued
Imprest Accounts	Report issued
Smallholdings	Report issued
Shared Service Partnership Arrangements	Support provided
Officer Interests	Report issued
Appraisal and PDP	Report issued
Energy Management	Report issued
Employee Expenses	Report issued
People Strategy	Audit cancelled
Trust Funds	Report issued

Chief Executive's Directorate

Information Governance	Report issued
Communications	Support provided
Support of Cabinet and Member Scrutiny	Report issued
Community Budgets	Audit cancelled
Community Improvement Fund	Report issued

Environment and Infrastructure

Carbon Reduction Scheme	Support provided
Waste Charges	Report issued
Waste Data System	Report issued
Local Sustainable Transport Fund	Report issued
Asset Management (Highways)	Report issued
Highway Property Information	Report issued
European Office	Report issued

Public Health

Report issued

Follow-up Audits including

Direct Payments	Report issued
Residential Care Homes	Report issued
Children in Care - Health and Dental Checks	Report issued
Special Schools - In-house Residential	Report issued
Purchase Cards	Report issued